



15v 2176#  
PATENT APPLICATION

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: ) Group Art Unit: 2176  
Darryl E. Rubin et al. )  
Serial Number: 09/457,109 ) Examiner: Nguyen, M.  
Filed: 12/07/1999 ) Attorney Docket No. 003797.81487  
 ) Confirmation No. 7310

For: COMPUTER USER INTERFACE  
ARCHITECTURE WHEREIN BOTH  
CONTENT AND USER INTERFACE  
ARE COMPOSED OF DOCUMENTS  
WITH LINKS

**INFORMATION DISCLOSURE STATEMENT**

U.S. Patent and Trademark Office  
Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

In accordance with 37 C.F.R. §1.97 and §1.98, enclosed are PTO/SB/08a forms listing art for consideration by the Examiner.

The reference listed in this information disclosure statement was cited by the Examiner in co-pending application U.S. Serial No. 09/768,813 not more than three months prior to the filing of this Information Disclosure Statement.

The submission of the listed document is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

**Information Disclosure Statement**

Serial No. 09/457,109

Page 2

Consideration of all information is respectfully requested.

The undersigned certifies under 37 C.F.R. § 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the information disclosure statement.

The accompanying Information Disclosure Statement is being filed after the mailing date of a final Office Action on the merits. Therefore, authorization is given to charge Deposit Account No. 19-0733 for the \$180.00 fee. In the event there is a discrepancy with the fee, please charge or credit Deposit Account No. 19-0733. Further, the Commissioner is authorized to charge any additional fees that may be required or credit any overpayment to our Deposit Account No. 19-0733.

It is respectfully requested that the Examiner fully consider the document, initial the enclosed form in the appropriate place to indicate that the document have been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609.

Respectfully submitted,

Date: February 23, 2006

By: /Paul M. Rivard/

Paul M. Rivard

Registration No. 43,446

Banner & Witcoff, LTD  
1001 G Street, N.W.  
Washington, D.C. 20001-4597  
(202) 824-3000

FEB 23 2006

PTO/SB/08a (08-03)

Approved for use through 07/31/2006 OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

|                        |              |
|------------------------|--------------|
| Application Number     | 09457109     |
| Filing Date            | 1999-12-07   |
| First Named Inventor   | Darryl Rubin |
| Art Unit               | 2176         |
| Examiner Name          | M. Nguyen    |
| Attorney Docket Number | 003797.81487 |

**U.S.PATENTS**

| Examiner Initial* | Cite No | Patent Number | Kind Code† | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|-------------------|---------|---------------|------------|------------|---|--|
| /M.N./            | 1       | 6272484       | B1.        | 2001-08-07 | Martin et al.                                   |  |

If you wish to add additional U.S. Patent citation information please click the Add button.

**U.S.PATENT APPLICATION PUBLICATIONS**

| Examiner Initial* | Cite No | Publication Number | Kind Code† | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|-------------------|---------|--------------------|------------|------------------|---|--|
|                   | 1       |                    |            |                  |   |  |

If you wish to add additional U.S. Published Application citation information please click the Add button.

**FOREIGN PATENT DOCUMENTS**

| Examiner Initial* | Cite No | Foreign Document Number <sup>3</sup> | Country Code <sup>2</sup> | Kind Code <sup>4</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | T <sup>5</sup>           |
|-------------------|---------|--------------------------------------|---------------------------|------------------------|------------------|---|--|--------------------------|
|                   | 1       |                                      |                           |                        |                  |   |  | <input type="checkbox"/> |
|                   | 2       |                                      |                           |                        |                  |   |  | <input type="checkbox"/> |

If you wish to add additional Foreign Patent Document citation information please click the Add button

**NON-PATENT LITERATURE DOCUMENTS**

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)



|                        |              |
|------------------------|--------------|
| Application Number     | 09457109     |
| Filing Date            | 1999-12-07   |
| First Named Inventor   | Darryl Rubin |
| Art Unit               | 2176         |
| Examiner Name          | M. Nguyen    |
| Attorney Docket Number | 003797.81487 |

|                    |         |   |                          |
|--------------------|---------|---|--------------------------|
| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | Ts                       |
|                    | 1       |   | <input type="checkbox"/> |

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

|                    |                    |                 |            |
|--------------------|--------------------|-----------------|------------|
| Examiner Signature | /Maikhahan Nguyen/ | Date Considered | 08/20/2008 |
|--------------------|--------------------|-----------------|------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

*OTr*  
FEB 23 2006  
U.S. PATENT AND TRADEMARK OFFICE

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Not for submission under 37 CFR 1.99)

|                        |              |
|------------------------|--------------|
| Application Number     | 09457109     |
| Filing Date            | 1999-12-07   |
| First Named Inventor   | Darryl Rubin |
| Art Unit               | 2176         |
| Examiner Name          | M. Nguyen    |
| Attorney Docket Number | 003797.81487 |

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

**OR**

- That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

- See attached certification statement.  
 Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.  
 None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

|            |                  |                     |            |
|------------|------------------|---------------------|------------|
| Signature  | /Paul M. Rivard/ | Date (YYYY-MM-DD)   | 2006-02-23 |
| Name/Print | Paul M. Rivard   | Registration Number | 43,446     |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL  
for FY 2005** Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$ ) **180**

|                      |                        |
|----------------------|------------------------|
| Complete if Known    |                        |
| Application Number   | 09/457,109             |
| Filing Date          | 12/07/1999             |
| First Named Inventor | Darryl E. Rubin et al. |
| Examiner Name        | Nguyen, M.             |
| Art Unit             | 2176                   |
| Attorney Docket No.  | 003797.81487           |

OPT E 1486  
FEB 23 2008  
PATENT & TRADEMARK OFFICE

**METHOD OF PAYMENT** (check all that apply)

- Check  Credit Card  Money Order  None  Other (please identify) : \_\_\_\_\_
- Deposit Account Deposit Account Number: **19-0733** Deposit Account Name: **Banner & Witcoff, LTD.**

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

- Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee
- Charge any additional fee(s) or underpayments of fee(s)  Credit any overpayments

Under 37 CFR 1.16 and 1.17.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEES CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| <b>Application Type</b> | <b>FILING FEES</b> |                | <b>SEARCH FEES</b> |                | <b>EXAMINATION FEES</b> |                |                       |
|-------------------------|--------------------|----------------|--------------------|----------------|-------------------------|----------------|-----------------------|
|                         | <b>Fee (\$)</b>    | <b>Fee(\$)</b> | <b>Fee(\$)</b>     | <b>Fee(\$)</b> | <b>Fee(\$)</b>          | <b>Fee(\$)</b> | <b>Fees Paid (\$)</b> |
| Utility                 | 300                | 150            | 500                | 250            | 200                     | 100            | _____                 |
| Design                  | 200                | 100            | 100                | 50             | 130                     | 65             | _____                 |
| Plant                   | 200                | 100            | 300                | 150            | 160                     | 80             | _____                 |
| Reissue                 | 300                | 150            | 500                | 250            | 600                     | 300            | _____                 |
| Provisional             | 200                | 100            | 0                  | 0              | 0                       | 0              | _____                 |

**2. EXCESS CLAIM FEES****Fee Description**

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

| <b>Total Claims</b> | <b>Extra Claims</b> |                 | <b>Fee (\$)</b> |                 | <b>Fee Paid (\$)</b> |                 | <b>Small Entity</b> |
|---------------------|---------------------|-----------------|-----------------|-----------------|----------------------|-----------------|---------------------|
|                     | <b>Fee (\$)</b>     | <b>Fee (\$)</b> | <b>Fee (\$)</b> | <b>Fee (\$)</b> | <b>Fee (\$)</b>      | <b>Fee (\$)</b> |                     |
| - 20 or HP=         | _____               | x               | _____           | =               | _____                | _____           | 50 25               |

HP = highest number of total claims paid for, if greater than 20.

| <b>Indep. Claims</b> | <b>Extra Claims</b> |                 | <b>Fee (\$)</b> |                 | <b>Fee Paid (\$)</b> |                 | <b>Multiple Dependent Claims</b> |
|----------------------|---------------------|-----------------|-----------------|-----------------|----------------------|-----------------|----------------------------------|
|                      | <b>Fee (\$)</b>     | <b>Fee (\$)</b> | <b>Fee (\$)</b> | <b>Fee (\$)</b> | <b>Fee (\$)</b>      | <b>Fee (\$)</b> |                                  |
| - 3 or HP=           | _____               | x               | _____           | =               | _____                | _____           | 50 25                            |

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| <b>Total Sheets</b> | <b>Extra Sheets</b> | <b>Number of each additional 50 or fraction thereof</b> | <b>Fee (\$)</b> | <b>Fee Paid (\$)</b> |
|---------------------|---------------------|---|-----------------|----------------------|
| - 100 =             | _____ / 50 =        | _____ (round up to a whole number) x                    | =               | _____                |

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): **1806 Submission of Information Disclosur eStatement****Fees Paid (\$)**  
**180****SUBMITTED BY**

|                          |                         |  |               |                  |                       |
|--------------------------|-------------------------|--|---------------|------------------|-----------------------|
| <b>Signature</b>         | <b>/Paul M. Rivard/</b> | <b>Registration No.<br/>(Attorney/Agent)</b> | <b>43,446</b> | <b>Telephone</b> | <b>(202) 824-3000</b> |
| <b>Name (Print/Type)</b> | <b>Paul M. Rivard</b>   |  |               | <b>Date</b>      | <b>02/23/2008</b>     |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-765-9199) and select option 2.